

NOTICE CUM ADDENDUM



Notice cum Addendum to the Scheme Information Document / Key information Document and Statement of Additional Information of Taurus Mutual Fund.

Notice is hereby given that the Trustees of Taurus Mutual Fund have decided to incorporate the following provisions in the Common Scheme Information Document (SID) / Common Key Information Memorandum (KIM) and Statement of Additional Information (SAI) of Taurus Mutual Fund (Fund).

1. Persons not eligible to subscribe for the units in the Schemes of the Fund:

On account of limitations / restrictions imposed by the jurisdictional laws / regulations with respect to marketing or offering of units of the Schemes of the Fund, the persons stated under the below provision titled **'Who Cannot Invest'** shall not be eligible to invest in the Schemes of the Fund.

The following persons/ entities cannot invest in the Schemes:

1. United States Person (U.S. Person*) as defined under the extant laws of the United States of America;
2. Residents of Canada;
3. NRIs residing in any Financial Action Task Force (FATF) declared non-compliant country or territory.
 - *The term "U.S. Person" means any person that is a U.S. Person within the meaning of Regulations under the Securities Act of 1933 of U.S. or as defined by the U.S. Commodity Futures Trading Commission or as per such further amended definitions, interpretations, legislations, rules etc., as may be in force from time to time.*

2. Foreign Account Tax Compliance Act (FATCA):

FATCA is an acronym for Foreign Account Tax Compliance Act (FATCA), a United States Federal law to increase compliance by US tax payers and is intended to bolster efforts to prevent tax evasion by the US tax payers with off shore investments. The Government of India and the United States of America (US) have reached an agreement in substance on the terms of an Inter-Governmental Agreement (IGA) and India is now treated as having an IGA in effect from April 11, 2014. The AMC/Mutual Fund are likely to be classified as a Foreign Financial Institution (FFI) (Investment Entity as per Annexure 1(i) under the FATCA provisions). In accordance with FATCA provisions, the AMC / Mutual Fund will be required to undertake due diligence process and identify US reportable accounts and collect such information / documentary evidence of the US and / or non-US status of its investors / Unit holders and disclose such information (through its agents or service providers) as far as may be legally permitted about the holdings, investment returns and / or to US IRS or the Indian Tax Authorities, as the case may be for the purpose of onward transmission to the IRS pursuant to the new reporting regime under FATCA. FATCA due diligence will be directed at each investor / unit holder (including joint investor) and on being identified as a reportable person / specified US person, all the folios will be reported. In case of folios with joint holders, the entire account value of the investment portfolio will be attributable under each such reportable person. An investor / unit holder will therefore be required to comply with the request of the AMC / Mutual Fund to furnish such information as and when sought by the AMC for the AMC/Mutual Fund to comply with the information reporting requirements stated in IGA and circulars issued by SEBI / AMFI in this regard. The information disclosed may include (but is not limited to) the identity of the investors and their direct or indirect beneficiaries, beneficial owners and controlling persons. Investors / unit holders should consult their own tax advisors regarding FATCA requirements with respect to their own situation."

The Board of Directors of AMC / Trustees shall have power to make necessary changes in this regard as they may deem fit from time to time.

This Addendum forms an integral part of the Common SID/ Common KIM and SAI of Taurus Mutual Fund.

Place: **Mumbai**

Date: **September 30, 2014.**

For **Taurus Asset Management Company Ltd.**

Notice cum Addendum No. 04/2014-15

Authorised Signatory

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

TAURUS ASSET MANAGEMENT COMPANY LIMITED

Registered Office: 305, Regent Chambers, 208, Jammalal Bajaj Marg, Nariman Point, Mumbai - 400 021. Tel. 022 - 2282 6847

Head Office: Ground Floor, AML Centre-1, 8 Mahal Industrial Estate, Mahakali Caves Road, Andheri (E), Mumbai - 400 093.

Tel: 022 - 6624 2700 • Email: customercare@taurusmutualfund.com A copy of SID, SAI and KIM along with application form may be obtained from Fund's Website: www.taurusmutualfund.com • CIN: U67190MH1993PLC073154