



Transmission Documents Matrix - Ready Reckoner

Annexure VI

Sr. No	Documents Required for Transmission	Transmission to Surviving Joint Holders	Demise of Sole/All unit holders & Nominee/s registered.	Demise of Sole/All unit holders & Nominee/s NOT registered.	Demise of Karta of an HUF.
Basic Documents					
1	Letter from the Nominee/s or the Claimant/s or new Karta addressed to the AMC/Fund/Registrar.	✓	✓	✓	✓
2	Death Certificate of deceased Unit Holder/s / Karta in original or Photocopy duly notarized.	✓	✓	✓	✓
3	KYC of Nominee/s or Claimant/s or Surviving Unit holders or HUF & New Karta, Or KYC of the Guardian (in case of nominee /claimant being a minor / of unsound mind).	✓	✓	✓	✓
4	New Bank Mandate details - duly attested by Bank Manager - (Annexure I) or Cheque copy with account number and holders' name printed on the cheque.	✓	✓	✓	✓
Legal Documents (Indemnity Bonds to be on minimum Rs 20/- stamp paper and duly notarized. KYC acknowledgement address should match with the address mentioned in the Indemnity Bond.)					
1	Indemnity bond signed by Nominee/s (Annexure II) if the transmission amount exceeds 100,000		✓		
2 A	Indemnity bond signed by all legal heir/s confirming the claimant/s (Annexure III)			✓	
2 B	Individual Affidavit by the Legal Heir/s (Annexure IV)			✓	
2 C	<i>Transmission value Less than Rs 1,00,000: Document evidencing relationship of the claimant/s with the deceased unitholder/s.</i> <i>Transmission value Rs. 1,00,000/- or more: Notarised copy of the Probated Will OR Legal Heir / Succession / Claimant certificate by a competent court OR Letter of Administration, in case of Intestate Succession.</i>			✓	
3 A	Indemnity Bond signed by all the co-parceners appointing the new karta (Annexure V)				✓
3 B	Notarized copy of Settlement Deed OR Deed of Partition OR Decree of the relevant competent Court: (In case of no surviving co-parceners and the transmission value Rs. 1,00,000/- or more, OR where there is an objection from any surviving members of the HUF)				✓